4-A-

Docket No.: 50212-191

Sector#

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Toshiaki OKUNO, et al.

Serial No.: 09/781,564 : Group Art Unit: 2633

Filed: February 13, 2001 : Examiner:

For: OPTICAL TRANSMISSION SYSTEM AND METHOD

RESPONSE TO NOTICE OF MISSING PARTS OF APPLICATION

Box Missing Parts
Commissioner for Patents
Washington, DC 20231

Sir:

In response to the Notice of Missing Parts of Application dated April 13, 2001, submitted herewith are the following documents for filing in the above-referenced application:

- 1. Declaration and Power of Attorney (which is in compliance with 37 CFR 1.63)
- 2. Statutory Basic filing fee of \$710.00
- 3. Late Filing Fee Surcharge of \$130.00
- 4. Additional Claims fee of \$240.00
- 5. Assignment
- 6. Information Disclosure Statement

Please charge Deposit Account No. 500417 in the amount of \$1,080.00. To the extent necessary, Applicants petition for an extension of time under 37 C.F.R. 1.136. Please charge any

shortage in fees due in connection with the filing of this paper, to Deposit Account 500417 and please credit any excess fees to such deposit account.

Respectfully submitted,

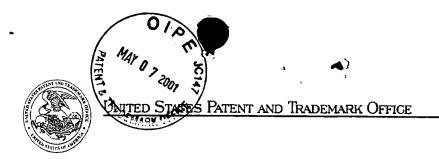
MCDERMOTT, WILL & EMERY

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600 13th Street, N.W. Washington, DC 20005-3096 (202) 756-8000 AJS:prp

Date: May 7, 2001

Facsimile: (202) 756-8087



COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/781,564

600 13th Street, N.W.

MCDERMOTT, WILL & EMERY

Washington, DC 20005-3096

02/13/2001

Toshiaki Okuno

50212-191

CONFIRMATION NO. 2297

FORMALITIES LETTER

OC000000005965833

Date Mailed: 04/13/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$240.
 - \$240 for 3 independent claims over 3.
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1080.

A copy of this notice <u>MUST</u> be returned with the reply.

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130.00 CH

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE